

# Lighting and Shading

Properties of Light  
Light Sources  
Phong Illumination Model  
Normal Vectors  
[Angel, Ch. 6.1-6.4]

## Announcements

- Written assignment #1 due Thursday
  - Handin at beginning of class
- Programming assignment #2 out Thursday

## The Rendering Equation

- (Angel, Ch 13)

## Outline

- Lighting models (OpenGL oriented)
- Reflection models (Phong shading)
- Normals
- Color

## Common Types of Light Sources

- Ambient light: no identifiable source or direction
- Point source: given only by point
- Distant light: given only by direction
- Spotlight: from source in direction
  - Cut-off angle defines a cone of light
  - Attenuation function (brighter in center)
- Light source described by a luminance
  - Each color is described separately
  - $I = [I_r \ I_g \ I_b]^T$  (I for intensity)
  - Sometimes calculate generically (applies to r, g, b)

## Ambient Light

- Intensity is the same at all points
- This light does not have a direction (or .. it is the same in all directions)

$$I_a = \begin{bmatrix} I_{ar} \\ I_{ag} \\ I_{ab} \end{bmatrix}$$

## Point Source

- Given by a point  $p_0$
- Light emitted from that point equally in all directions

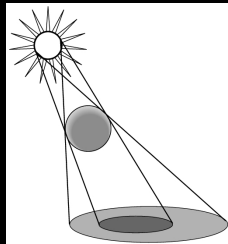
$$\mathbf{I}(p_0) = \begin{bmatrix} I_r(p_0) \\ I_g(p_0) \\ I_b(p_0) \end{bmatrix}$$

- Intensity decreases with square of distance

$$I(p, p_0) = \frac{1}{|p - p_0|^2} I(p_0)$$

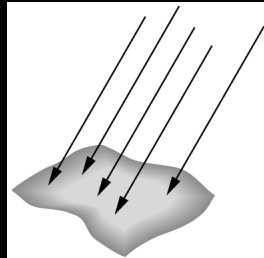
## One Limitation of Point Sources

- Shading and shadows inaccurate
- Example: penumbra (partial “soft” shadow)



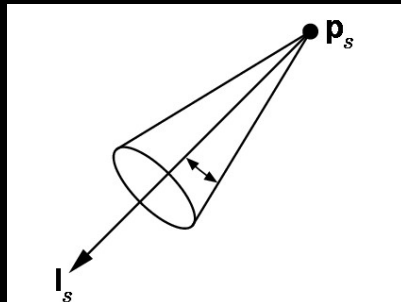
## Distant Light Source

- Given by a vector  $v$
- Intensity does not vary with distance (all distances are the same .. infinite!)



## Spotlight

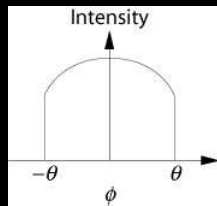
- Most complex light source in OpenGL
- Light still emanates from point
- Cut-off by cone determined by angle  $\theta$



## Spotlight Attenuation

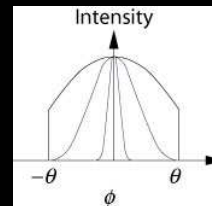
- Spotlight is brightest along  $I_s$
- Vector  $v$  with angle  $\phi$  from  $p$  to point on surface
- Intensity determined by  $\cos \phi$
- Corresponds to projection of  $v$  onto  $I_s$
- Spotlight exponent  $e$  determines rate

$$I = \cos^e(\phi) = (v \cdot I_s)^e$$



for  $e = 1$

for  $e > 1$   
curve narrows

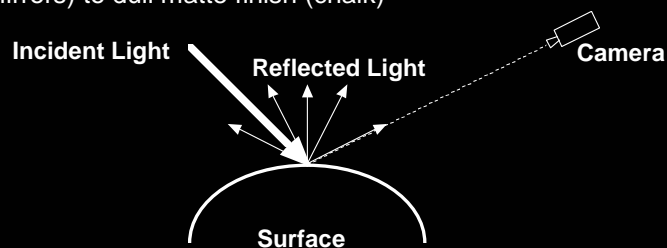


For any of these light sources, it is easy to compute illumination arriving at a point (e.g., a vertex)

Now we can think about how the incoming light is reflected by a surface

## Surface Reflection

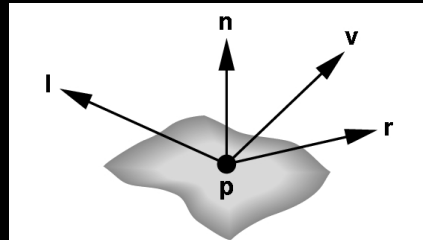
- When light hits an opaque surface some is absorbed, the rest is reflected (some can be transmitted too--but never mind for now)
- The reflected light is what we see
- Reflection is not simple and varies with material
  - the surface's micro structure define the details of reflection
  - variations produce anything from bright specular reflection (mirrors) to dull matte finish (chalk)



## Phong Illumination Model

- Calculate color for arbitrary point on surface
- Basic inputs are material properties and  $l$ ,  $n$ ,  $v$ :

$l$  = vector to light source  
 $n$  = surface normal  
 $v$  = vector to viewer  
 $r$  = reflection of  $l$  at  $p$   
(determined by  $l$  and  $n$ )



## Basic Calculation

- Calculate each primary color separately
- Start with global ambient light
- Add reflections from each light source
- Clamp to  $[0, 1]$
- Reflection decomposed into
  - Ambient reflection
  - Diffuse reflection
  - Specular reflection
- Based on ambient, diffuse, and specular lighting and material properties

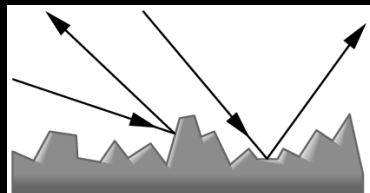


## Ambient Reflection

- Intensity of ambient light uniform at every point
- Ambient reflection coefficient  $k_a$ ,  $0 \leq k_a \leq 1$
- May be different for every surface and  $r, g, b$
- Determines reflected fraction of ambient light
- $L_a$  = ambient component of light source
- Ambient intensity  $I_a = k_a L_a$
- Note:  $L_a$  is not a physically meaningful quantity

## Diffuse Reflection

- Diffuse reflector scatters light
- Assume equally all direction
- Called Lambertian surface
- Diffuse reflection coefficient  $k_d$ ,  $0 \leq k_d \leq 1$
- Angle of incoming light still critical



## Lambert's Law

- Intensity depends on angle of incoming light

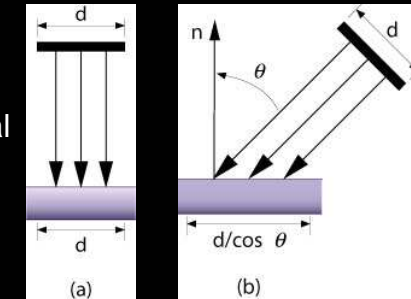
- Recall

$l$  = unit vector to light  
 $n$  = unit surface normal  
 $\theta$  = angle to normal

- $\cos \theta = l \cdot n$

- $I_d = k_n (l \cdot n) L_d$

- With attenuation:

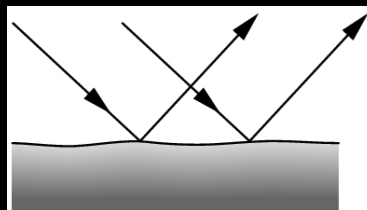


$$I_d = \frac{k_d}{a + bq + cq^2} (l \cdot n) L_d$$

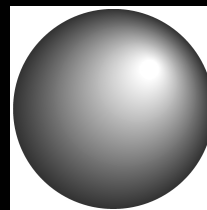
$q$  = distance to light source,  
 $L_d$  = diffuse component of light

## Specular Reflection

- Specular reflection coefficient  $k_s$ ,  $0 \leq k_s \leq 1$
- Shiny surfaces have high specular coefficient
- Used to model specular highlights
- Do not get mirror effect (need other techniques)



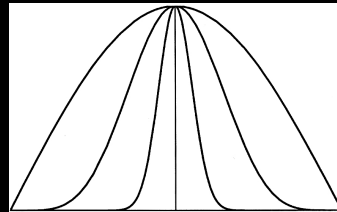
specular reflection



specular highlights

## Shininess Coefficient

- $L_s$  is specular component of light
- $r$  is vector of perfect reflection of  $l$  about  $n$
- $v$  is vector to viewer
- $\phi$  is angle between  $v$  and  $r$
- $I_s = k_s L_s \cos^\alpha \phi$
- $\alpha$  is shininess coefficient
- Compute  $\cos \phi = r \cdot v$
- Requires  $|r| = |v| = 1$
- Multiply distance term



Higher  $\alpha$  is narrower

## Summary of Phong Model

- Light components for each color:
  - Ambient ( $L_a$ ), diffuse ( $L_d$ ), specular ( $L_s$ )
- Material coefficients for each color:
  - Ambient ( $k_a$ ), diffuse ( $k_d$ ), specular ( $k_s$ )
- Distance  $q$  for surface point from light source

$$I = \frac{1}{a + bq + cq^2} (k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^\alpha) + k_a L_a$$

$l$  = vector from light  
 $n$  = surface normal

$r$  =  $l$  reflected about  $n$   
 $v$  = vector to viewer

## Comparison of Phong model to the rendering equation

### Raytracing Example



Martin Moeck,  
Siemens Lighting

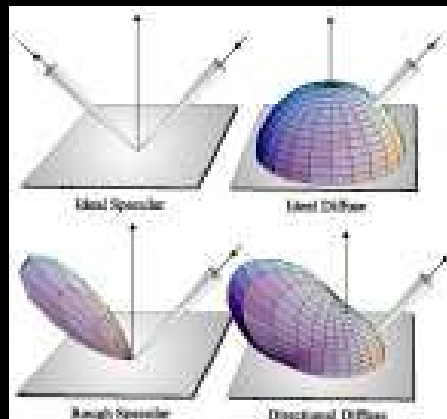
## Radiosity Example



Restaurant Interior. Guillermo Leal, Evolucion Visual

## BRDF

- Bidirectional Reflection Distribution Function
- Measure for materials
- Isotropic vs. anisotropic
- Mathematically complex



Accurate normal vectors are important for modeling surface reflection

## Normal Vectors

- Summarize Phong

$$I = \frac{1}{a + bq + cq^2} (k_d L_d (\mathbf{l} \cdot \mathbf{n}) + k_s L_s (\mathbf{r} \cdot \mathbf{v})^\alpha) + k_a L_a$$

- Surface normal  $\mathbf{n}$  is critical
  - Calculate  $\mathbf{l} \cdot \mathbf{n}$
  - Calculate  $\mathbf{r}$  and then  $\mathbf{r} \cdot \mathbf{v}$
- Must calculate and specify the normal vector
  - Even in OpenGL!
- Two examples: plane and sphere

## Normals of a Plane, Method I

- Method I: given by  $ax + by + cz + d = 0$
- Let  $p_0$  be a known point on the plane
- Let  $p$  be an arbitrary point on the plane
- Recall:  $u \cdot v = 0$  iff  $u$  orthogonal  $v$
- $n \cdot (p - p_0) = n \cdot p - n \cdot p_0 = 0$
- We know that  $[a \ b \ c \ 0]^T \cdot p_0 = -d$  (because  $p_0$  satisfies the plane equation)
- Consequently  $n_0$  must be  $[a \ b \ c \ 0]^T$
- Normalize to  $n = n_0/|n_0|$

## Normals of a Plane, Method II

- Method II: plane given by  $p_0, p_1, p_2$
- Points must not be collinear
- Recall:  $u \times v$  orthogonal to  $u$  and  $v$
- $n_0 = (p_1 - p_0) \times (p_2 - p_0)$
- Order of cross product determines orientation
- Normalize to  $n = n_0/|n_0|$

## Normals of Sphere

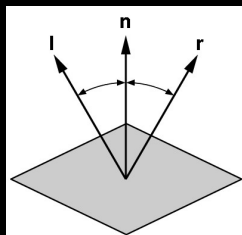
- Implicit Equation  $f(x, y, z) = x^2 + y^2 + z^2 - 1 = 0$
- Vector form:  $f(p) = p \cdot p - 1 = 0$
- Normal given by gradient vector

$$n_0 = \begin{bmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \\ \frac{\partial f}{\partial z} \end{bmatrix} = \begin{bmatrix} 2x \\ 2y \\ 2z \end{bmatrix} = 2p$$

- Normalize  $n_0/|n_0| = 2p/2 = p$

## Angle of Reflection

- Perfect reflection: angle of incident equals angle of reflection
- Also:  $l$ ,  $n$ , and  $r$  lie in the same plane
- Assume  $|l| = |n| = 1$ , guarantee  $|r| = 1$



$$l \cdot n = \cos \theta = n \cdot r$$

$$r = \alpha l + \beta n \quad \text{Solution: } \alpha = -1 \text{ and } \beta = 2(l \cdot n)$$

$$r = 2(l \cdot n)n - l$$

Perhaps easier geometrically



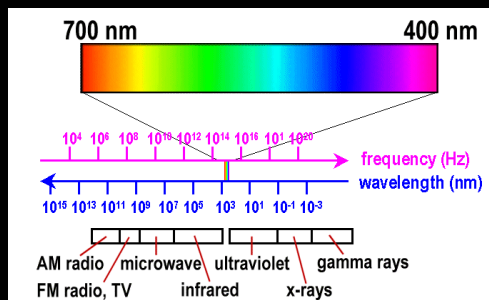
## Summary: Normal Vectors

- Critical for Phong model (diffuse and specular)
- Must calculate accurately (even in OpenGL)
- Pitfalls
  - Not unit length
  - How to set at surface boundary?

## Color .. Why RGB?

# Physics of Light and Color

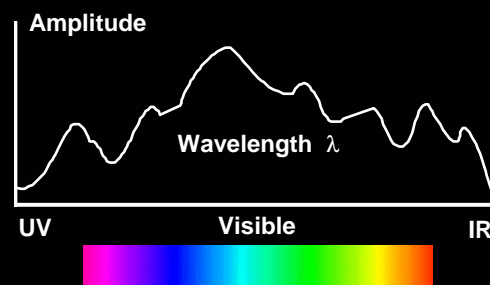
- It's all electromagnetic (EM) radiation
  - Different colors correspond to different **wavelengths**  $\lambda$ .
  - Intensity of each wavelength specified by **amplitude**
  - **Frequency**  $\nu = 2 \pi / \lambda$ 
    - long wavelength is low frequency
    - short wavelength is high frequency



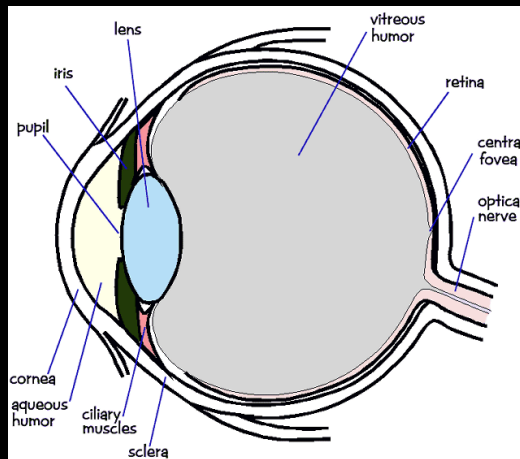
We perceive EM radiation with  $\lambda$  in the 400-700 nm range

# Color: What's There vs. What We See

- Human eyes respond to “visible light”
  - tiny piece of spectrum between infra-red and ultraviolet
- Color defined by emission spectrum of light source
  - amplitude vs wavelength (or frequency) plot

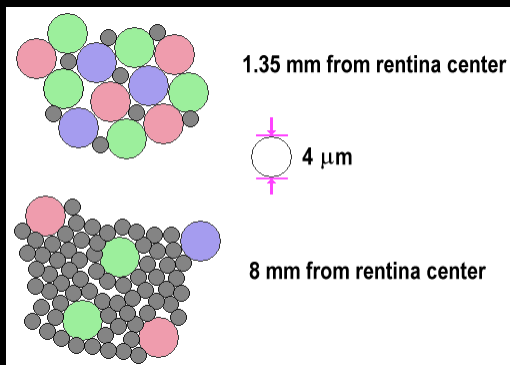


# The Eye

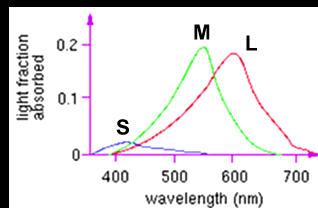


- The image is formed on the **retina**
- Retina contains two types of cells: **rods** and **cones**
- Cones measure color (red, green, blue)
- Rods responsible for monochrome night-vision

# The Fovea



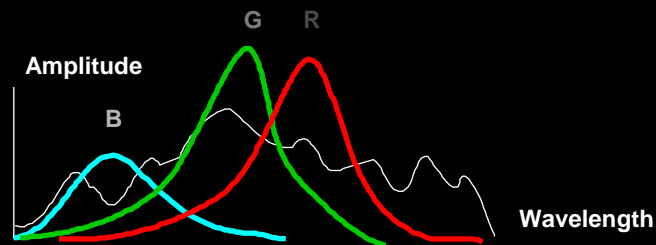
Cones are most densely packed within a region of the retina called the *fovea*



- Three types of cones: S,M,L
  - Corresponds to 3 visual pigments
- Roughly speaking:
  - S responds to blue
  - M responds to green
  - L responds to red
- Note that these are not uniform
  - more sensitive to green than red
- Colorblindness
  - deficiency of one cone/pigment type

## Color Filters

- Rods and cones can be thought of as filters
  - Cones detect red, green or blue parts of spectrum
  - Rods detect average intensity across spectrum
- To get the output of a filter
  - Multiply its response curve by the spectrum, integrate over all wavelengths
- A physical spectrum is a complex function of wavelength
  - But what we see can be described by just 3 numbers—the color filter outputs
  - How can we encode a whole function with just 3 numbers?
    - A: we can't! We can't distinguish certain colors--*metamers*



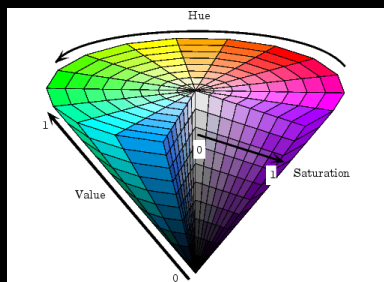
## Color Models

- Okay, so our visual system is quite limited
- But maybe this is good news. . .
- We can avoid computing and reproducing the full color spectrum since people only have 3 color channels
  - TV would be much more complex if we perceived the full spectrum
    - transmission would require much higher bandwidths
    - display would require much more complex methods
  - real-time color 3D graphics is feasible
  - any scheme for describing color requires only three values
  - lots of different color spaces--related by 3x3 matrix transformations

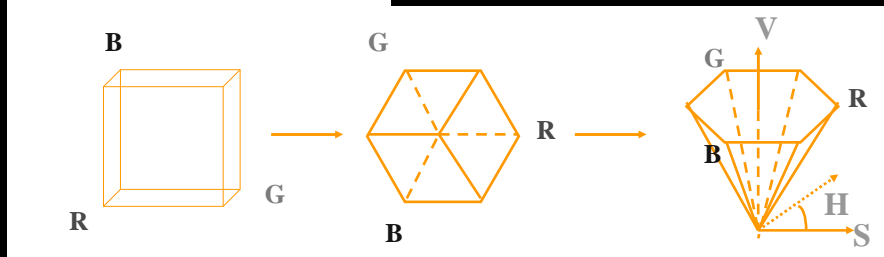
# Color Spaces

- There are many ways to describe color
  - Spectrum
    - allows any radiation (visible or invisible) to be described
    - usually unnecessary and impractical
  - RGB
    - convenient for display (CRT uses red, green, and blue phosphors)
    - not very intuitive
  - HSV
    - an intuitive color space
    - H is hue - what color is it? S is saturation or purity - how non-gray is it? V is value - how bright is it?
    - H is cyclic therefore it is a non-linear transformation of RGB
  - CIE XYZ
    - a linear transform of RGB used by color scientists

## HSV



From mathworks



## Additive vs. Subtractive Color

- Working with light: additive primaries
  - Red, green and blue components are added by the superposition property of electromagnetism
  - Conceptually: start with black, primaries add light
- Working with pigments: subtractive primaries
  - Typical inks (CMYK): cyan, magenta, yellow, black
  - Conceptually: start with white, pigments filter out light
  - The pigments remove parts of the spectrum

dye color	absorbs	reflects
cyan	red	blue and green
magenta	green	blue and red
yellow	blue	red and green
black	all	none

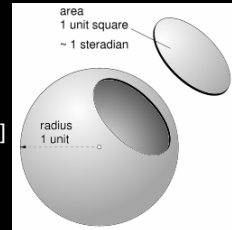
- Inks interact in nonlinear ways--makes converting from monitor color to printer color a challenging problem
- Black ink (K) used to ensure a high quality black can be printed

## The Meaning of “Color”

- What's an image?
  - Irradiance: each pixel measures the incident light at a point on the film
  - Proportional to integral of scene radiance hitting that point
- What's Color?
  - Refers to radiance or irradiance measured at 3 wavelengths
  - Scene color: radiance coming off of surface (for illumination)
  - Image color: irradiance (for rendering)
  - These quantities have different units and should not be confused

# Units of Light and Color

<i>Quantity</i>	<i>Dimension</i>	<i>Units</i>
<b>solid angle</b> a two-dimensional angle (proportional to area on a sphere)	solid angle	[steradian]
<b>power</b> photons per second; radiance integrated over incoming directions, over a finite area.	energy/time	[watt]=[joule/sec]
<b>irradiance (intensity)</b> Brightness of light hitting the surface (or image) at this point (incident light)	power/area	[watt/m <sup>2</sup> ]
<b>radiance (intensity)</b> Brightness of light reflected at this point along this direction (reflected light)	power/(area*solid angle)	[watt/(m <sup>2</sup> *steradian)]
<b>reflectance</b> what fraction of the light is reflected by a material? typically between 0 and 1.	unitless	[1]



## Announcements

- Written assignment #1 due Thursday
  - Handin at beginning of class
- Programming assignment #2 out Thursday

