# Lecture 18: **Visibility** (coverage and occlusion using rasterization and the Z-buffer)

Visual Computing Systems CMU 15-769, Fall 2016

### Last time: graphics pipeline architecture Interface to GPU used for real-time 3D graphics applications

Memory



# Surprising to some: what the pipeline architecture does not have primitives for

- Modern graphics pipeline has no concept of lights, materials, geometric modeling transforms
  - Only streams of records processed by application defined kernels: vertices, primitives, fragments, pixels
  - And pipeline state (input/output buffers, "shaders", and fixed-function configuration parameters)
  - Applications implement lights, materials, etc. using these basic abstractions
- The graphics pipeline has no concept of a scene
- Just a machine that executes pipeline state change and draw primitives commands

# Last time: programming the graphics pipeline

### Issue draw commands — output image contents change

<b>Command Type</b>	Command Bind shaders, textu	
State change		
Draw	Draw using vertex <b>b</b>	
State change	<b>Bind new uniforms</b>	
Draw	<b>Draw using vertex b</b>	
State change	Bind new shader	
Draw	Draw using vertex k	
State change	Change depth test	
State change	Bind new shader	
Draw	<b>Draw using vertex b</b>	

### ures, uniforms buffer for object 1

### **buffer for object 2**

### **buffer for object 3** t function

### **buffer for object 4**

### Major graphics-specific services of pipeline Efficiently implementing visibility (generating fragments from primitives in the "fragment generation" stage) and occlusion ("pixel

- ops stage")
  - Today's topic
  - Implemented in fixed-function hardware on modern GPU -
- Providing efficient implementation of texture mapping and tessellation
  - Future topics
- Scheduling these operations and application-provided kernels efficiently on heterogeneous, parallel GPU hardware

### Let's draw some triangles on the screen



# The visibility problem

- An informal definition: what scene geometry is visible within each screen pixel?
  - What scene geometry projects into a screen pixel? (coverage)
  - Which geometry is visible from the camera at that pixel? (occlusion)



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- An informal definition: what scene geometry is visible within each screen pixel?
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# The visibility problem (said differently)

- In terms of light rays:
  - What scene geometry is hit by a ray from a pixel through the pinhole? (coverage)
  - What object is the first hit along that ray? (occlusion)



Hold onto this thought for later in the semester.

### rough the pinhole? (coverage) n)

# Visibility: coverage + occlusion



### Today's main ideas

- Hardware-friendly visibility algorithms
  - Tension between work-efficient (but serial) and "brute force" wide data parallel methods
  - Hierarchical techniques (per tile, per pixel) pay off in multiple context (save work, basis for compression, ...)
- Hardware optimized data compression
  - Domain-specific data compression techniques to alleviate bandwidth bottleneck

# **Computing triangle coverage**

### What pixels does the triangle overlap?

**Input:** projected position of triangle vertices: P<sub>0</sub>, P<sub>1</sub>, P<sub>2</sub>





### What does it mean for a pixel to be covered by a triangle?

Question: which triangles "cover" this pixel?



### One option: analytically compute fraction of pixel covered by triangle



### Analytical schemes get tricky when considering occlusion





Two regions of [1] contribute to pixel. One of these regions is not even convex.

# Sampling 101

### Sampling: taking measurements a signal

Below: five measurements ("samples") of 1D signal f(x)



# Reconstruction: given a set of samples, how might we attempt to reconstruct the original signal f(x)?





### Piecewise constant approximation

 $f_{recon}(x) =$  value of sample closest to x





### **Piecewise linear approximation**

 $f_{recon}(x) =$  linear interpolation between values of two closest samples to x



# Sampling signal more densely (increasing sampling rate) enables more accurate reconstruction



# **Reconstruction from denser sampling**



••••• = reconstruction via nearest

**•••••** = reconstruction via linear interpolation

# **Reconstruction as convolution (box filter)**

### Sampled signal: (with period *T*)

$$g(x) = \operatorname{III}_T(x)f(x) = T\sum_{i=-\infty}^{\infty} f(iT)\delta(x-iT)$$

### Reconstruction filter: (unit area box of width T)

$$h(x) = \begin{cases} 1/T & |x| \le T/2 \\ 0 & otherwise \end{cases}$$



### **Reconstructed signal:**

### (chooses nearest sample)

$$f_{recon}(x) = (h * g)(x) = T \int_{-\infty}^{\infty} h(y) \sum_{i=-\infty}^{\infty} f(iT)\delta(x - y - iT)dx$$



non-zero only for iT closest to x

# **Reconstruction as convolution (triangle filter)**

### Sampled signal: (with period *T*)

$$g(x) = \operatorname{III}_T(x)f(x) = T\sum_{i=-\infty}^{\infty} f(iT)\delta(x-iT)$$

### Reconstruction filter: (unit area triangle of width T)





# Back to computing coverage

# Sampling 2D triangle coverage signal



### **Edge cases (literally)**

Is this sample point covered by triangle 1? or triangle 2? or both?



# **Edge rules**

- Direct3D rules: when edge falls directly on sample, sample classified as within triangle if the edge is a "top edge" or "left edge"
  - Top edge: horizontal edge that is above all other edges
  - Left edge: an edge that is not exactly horizontal and is on the left side of the triangle. (triangle can have one or two left edges)



Triangle





# **Results of sampling triangle coverage** Note: I'm not drawing the boundaries of image pixels anymore.



### I have a sampled signal, now I want to display it on a screen



So if we send the display this...

# We might see this when we look at the screen (assuming a screen pixel emits a square of perfectly uniform intensity of light)

### **Recall: the real coverage signal was this**



# **Problem: aliasing**

- Undersampling high frequency signal results in aliasing
  - "Jaggies" in a single image
  - "Roping" or "shimmering" in an animation
  - High frequencies exist in coverage signal because of triangle edges

### Initial coverage sampling rate (1 sample per pixel)



### Increase density of sampling coverage signal



### Supersampling

# Example: stratified sampling using four samples per pixel


## Resampling **Converting from one discrete sampled representation to another**



•	0	0
•	0	0
٠	0	0
•	•	0
•	•	0







## Displayed result (note anti-aliased edges)



## **Recall: the real coverage signal was this**



## Sampling coverage

- We want the light emitted from a display to be an accurate to match the ground truth signal: coverage(x,y))
- **Resampling a densely sampled signal (supersampled) integrates** coverage values over the entire pixel region. The integrated result is sent to the display (and emitted by the pixel) so that the light emitted by the pixel is similar to what would be emitted in that screen region by an "infinite resolution display"

## Modes of fragment generation

- Supersampling: generate one fragment for <u>each</u> covered sample
- Multi-sampling: general one fragment per pixel if <u>any</u> sample point within the pixel is covered
- Today, let's assume that the number of samples per pixel is one. (thus, both of the above schemes are equivalent)

**Compute triangle edge equations from projected positions of vertices** 

$$P_i = (X_{i, Y_i})$$

$$dX_i = X_{i+1} - X_i$$
$$dY_i = Y_{i+1} - Y_i$$

$$E_i(x, y) = (x - X_i) dY_i - (y - Y_i) dX_i = A_i x + B_i y + C_i$$

$$E_i(x, y) = 0$$
 : point on edge  
> 0 : outside edge  
< 0 : inside edge



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 : point on edge  
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Sample point s = (sx, sy) is inside the triangle if it is inside all three edges.

inside(sx, sy) = $E_0(sx, sy) < 0 \&\&$  $E_1(sx, sy) < 0 \&\&$  $E_2(sx, sy) < 0;$ 

## Note: actual implementation of

*inside*(*sx*,*sy*) **involves**  $\leq$  **checks based on** the triangle coverage edge rules (see beginning of lecture)



## Sample points inside triangle are highlighted red.

## Incremental triangle traversal

$$P_{i} = (X_{i}, Y_{i}, Z_{i})$$

$$dX_{i} = X_{i+1} - X_{i}$$

$$dY_{i} = Y_{i+1} - Y_{i}$$

$$E_{i}(x, y) = (x - X_{i}) dY_{i} - (y - Y_{i}) dX_{i}$$

$$= A_{i} x + B_{i} y + C_{i}$$

$$E_{i}(x, y) = 0 : \text{point on edge}$$

$$> 0 : \text{outside edge}$$

$$< 0 : \text{inside edge}$$

Note incremental update:

$$dE_{i}(x+1,y) = E_{i}(x,y) + dY_{i} = E_{i}(x,y) + A_{i}$$
  
$$dE_{i}(x,y+1) = E_{i}(x,y) + dX_{i} = E_{i}(x,y) + B_{i}$$

**Incremental update saves computation:** One addition per edge, per sample test

Note: many traversal orders are possible: backtrack, zig-zag, Hilbert/Morton curves (locality maximizing)



# Modern approach: tiled triangle traversal

**Traverse triangle in blocks** 

Test all samples in block against triangle in parallel

Advantages:

- Simplicity of wide parallel execution overcomes cost of extra point-in-triangle tests (most triangles cover many samples, especially when super-sampling coverage)
- Can skip sample testing work: entire block not in triangle ("early out"), entire block entirely within triangle ("early in")
- Additional advantaged related to accelerating occlusion computations (not discussed today)



All modern GPUs have special-purpose hardware for efficiently performing point-in-triangle tests

# Sampling triangle attributes

## **Coverage(x,y)**





# **Consider sampling surface\_color(x,y)**



## What is the triangle's color at the point $\mathbf{x}$ ?

## **Review: interpolation in 1D**

 $f_{recon}(x) =$  linear interpolation between values of two closest samples to x



# Consider similar behavior on triangle



## How can we interpolate in 2D between three values?

## Interpolation via barycentric coordinates



b-a and c-a form a non-orthogonal basis for points in triangle (origin at  $\mathbf{a}$ )

$$\mathbf{x} = \mathbf{a} + \beta(\mathbf{b} - \mathbf{a}) + \gamma(\mathbf{c} - \mathbf{a})$$
$$= (1 - \beta - \gamma)\mathbf{a} + \beta\mathbf{b} + \gamma\mathbf{c}$$
$$= \alpha\mathbf{a} + \beta\mathbf{b} + \gamma\mathbf{c}$$

 $\alpha + \beta + \gamma = 1$ 

Color at  $\mathbf{x}$  is linear combination of color at three triangle vertices.

$$\mathbf{x}_{\text{color}} = \alpha \mathbf{a}_{\text{color}} + \beta \mathbf{b}_{\text{color}} + \gamma \mathbf{c}_{\text{color}}$$

## **Direct evaluation of surface attributes** (on a 2D triangle)

Let projected screen-space positions of vertices be:  $a = (X_0, Y_0), b = (X_1, Y_1), c = (X_2, Y_2)$ Attribute value at point (x,y): f(x,y) is affine combination of value at vertices f(x,y) = Ax + By + C (attribute plane equation)

## For any surface attribute (with value defined at triangle vertices as: $f_a, f_b, f_c$ )

$$f_a = A\mathbf{a}_x + B\mathbf{a}_y + C$$
$$f_b = A\mathbf{b}_x + B\mathbf{b}_y + C$$
$$f_c = A\mathbf{c}_x + B\mathbf{c}_y + C$$

3 equations, solve for 3 unknowns (A, B, C)

## **Perspective-incorrect interpolation**

Due to projection, interpolation of values on a triangle with vertices at different depths <u>is not</u> an affine function of screen XY coordinates

Attribute values must be interpolated linearly in 3D object space.





## **Perspective-correct interpolation**

Assume triangle attribute varies linearly across the triangle Attribute's value at 3D (non-homogeneous) point  $P = \begin{bmatrix} x & y \end{bmatrix}$ f(x, y, z) = ax + by + cz

**Project** *P*, get 2D homogeneous representation:  $\begin{bmatrix} x_{2D-H} & y_{2D-H} & w \end{bmatrix}^T = \begin{bmatrix} x & y & z \end{bmatrix}^T$ **Rewrite attribute equation for** f **in terms of 2D homogeneous coordinates:** 

$$f = ax_{2D-H} + by_{2D-H} + cw$$

$$\frac{f}{w} = a\frac{x_{2D-H}}{w} + b\frac{y_{2D-H}}{w} + c$$

$$\frac{f}{w} = ax_{2D} + by_{2D} + c$$
Where [x<sub>2</sub>  
coordinate

So ...  $\frac{f}{d}$  is affine function of 2D screen coordinates:  $\begin{bmatrix} x \end{bmatrix}$  $\mathcal{U}$ 

$$\left[ y \quad z 
ight]^T$$
 is:

## $y_{2D} \quad y_{2D} \end{bmatrix}^T$ are projected screen 2D es (after homogeneous divide)

$$\begin{bmatrix} x_{2\mathrm{D}} & y_{2\mathrm{D}} \end{bmatrix}^T$$

# **Efficient perspective-correct interpolation**

Attribute values vary linearly across triangle in 3D, but not in projected screen XY **Projected attribute values** (f/w) are affine functions of screen XY!

To evaluate surface attribute *f* at every covered sample:

Evaluate  $1/_{w}(x,y)$ **Reciprocate**  $1/_{w}(x,y)$  to get w(x,y)For each triangle attribute: Evaluate  $f/_{w}(x,y)$ **Multiply**  $f/_{w}(x,y)$  by w(x,y) to get f(x,y)

Works for any surface attribute *f* that varies linearly across triangle: e.g., color, depth, texture coordinates

## (from precomputed equation for $1/_{w}$ )

## (from precomputed equation for $f/_w$ )

## **GPUs store attribute plane equations separately from** individual fragments (effectively a form of fragment compression)



# **Modern GPU rasterization**

All modern GPUs have fixed-function hardware to perform triangle "setup" and rasterization.

## Triangle setup:

- Transform clip-space vertex positions to screen space
- Convert vertex positions to fixed point (Direct3D requires 8 bits of subpixel precision\*\*)
- Compute triangle edge equations (for coverage testing)
- Compute plane equations for all vertex attributes (including 1/W and depth)

## Traverse triangle in blocks:

- Identify covered samples using edge tests (wide data parallelism in implementation)
- May attempt to trivially accept/reject block using edge tests on block corners
- Generate and emit fragments based on coverage (also emit per-triangle data as necessary)
- Block granularity order is also important for... (topics of future lectures)
  - Shading derivatives, maximizing data locality (cache locality/compression), maximizing control locality (avoiding SIMD divergence during fragment shading)

\*\* Note 1: limited precision can be a good thing: really acute triangles snap to 0 area and get discarded \*\* Note 2: limited precision can be a bad thing: precision limits in (x,y) can limit precision in Z (see Akeley and Su, 2006)

## Occlusion

## From last time: occlusion via the depth buffer



tri_d; ri_color;	<pre>// update zbuffer // update color buffer</pre>
st(tri_d,	<pre>zbuffer[x][y]) {</pre>
r1_color,	x, y) {
ri color.	$\mathbf{x}$ , $\mathbf{v}$ ) {

# **Depth buffer for occlusion**

- Depth buffer stores depth of scene at each coverage sample point
  - Stored per sample, not per pixel!
- **Triangles are planar** 
  - Each triangle has exactly one depth at each sample point \* 🧹 (so triangle order is a well-defined ordering of fragments at each sample point)
- **Occlusion check using Z-buffer algorithm** 
  - Constant-time occlusion test per fragment 🧹
  - Constant space per coverage sample 🗸
  - Constant space per depth buffer (overall) 🗸

\* Assumes edge-on triangles have been discarded due to zero area

# Depth buffer for occlusion

- Z-buffer algorithm has high bandwidth requirements (particularly when super-sampling triangle coverage)
  - Number of Z-buffer reads/writes for a frame depends on:
    - **Depth complexity of the scene**
    - The order triangles are provided to the graphics pipeline (if depth test fails, don't write to depth buffer or rgba)

## **Bandwidth estimate:**

- 60 Hz × 2 MPixel image × avg. depth complexity 4 (assume: replace 50% of time) × 32-bit Z  $= 2.8 \, \text{GB/s}$
- If super-sampling at 4 times per pixel, multiply by 4
- Consider five shadow maps per frame (1 MPixel, not super-sampled): additional 8.6 GB/s
- Note: this is just depth accesses. It does not include color-buffer bandwidth
- Modern GPUs implement caching and lossless compression of both color and depth buffers to reduce bandwidth (coming slides)

## Early occlusion culling



## **Early occlusion-culling ("early Z")** Idea: discard fragments that will not contribute to image as quickly as

## Idea: discard fragments that will not contribut possible in the pipeline



Pipeline generates, shades, and depth tests orange triangle fragments in this region although they do not contribute to final image. (they are occluded by the blue triangle)

# Early occlusion-culling ("early Z")



## A GPU implementation detail: not reflected in the graphics pipeline abstraction

Key assumption: occlusion results do not depend on fragment shading

Example operations that prevent use of this early Z optimization: enabling alpha test, fragment shader modifies fragment's Z value

Note: early Z only provides benefit if closer triangle is rendered by application first! (application developers are encouraged to submit geometry in as close to front-to-back order as possible)

**Optimization: reorder** pipeline operations: perform depth test immediately following rasterization and before fragment shading

# Summary: early occlusion culling

Key observation: can reorder pipeline operations without impacting correctness: perform depth test prior to fragment shading

## **Benefit: reduces fragment processing work**

- **Effectiveness of optimization is dependent on triangle ordering**
- Ideal geometry submission order: front-to-back order

## **<u>Does not</u>** reduce amount of bandwidth used to perform depth tests

The same depth-buffer reads and writes still occur (they just occur before fragment shading)

## Implementation-specific optimization, but programmers know it is there

- Commonly used two-pass technique: rendering with a "Z-prepass"
  - Pass 1: render all scene geometry, with fragment shading and color buffer writes disabled (Put the depth buffer in its end-of-frame state)
  - Pass 2: re-render scene with shading enabled and with depth-test predicate: less than-orequal
- **Overhead:** must process and rasterizer scene geometry twice
- Benefit: minimizes expensive fragment shading work by only shading visible fragments

## Hierarchical early occlusion culling: "hi-Z" **Recall hierarchical traversal during rasterization**

## <u>Z-Max culling</u>:

For each screen tile, compute farthest value in the depth **buffer:** z\_max

**During traversal, for each tile:** 

- **1. Compute closest point on triangle in tile:** tri\_min (using Z plane equation)
- 2. Iftri\_min > z\_max, then triangle is completely occluded in this tile. (The depth test will fail for all samples in the tile.) Proceed to next tile without performing coverage tests for individual samples in tile.

## **Z-min optimization**:

**Depth-buffer also stores** z\_min for each tile. lftri\_max < z\_min, then all depth tests for</pre> fragments in tile will pass. (No need to perform depth) test on individual fragments.)


### Hierarchical Z + early Z-culling



reflected in the graphics pipeline abstraction





Feedback: must update zmin/zmax tiles on depth-buffer update

### **Remember: these are GPU implementation details** (common optimizations performed by most GPUs). They are invisible to the programmer and not

## Summary: hierarchical Z

Idea: perform depth test at coarse tile granularity prior to sampling coverage 

### ZMax culling benefits:

- Reduces rasterization work
- **Reduces depth-testing work (don't process individual depth samples)**
- **Reduces** memory bandwidth requirements (don't need to read individual depth samples)
- Eliminates less fragment processing work than early Z (Since hierarchical Z is a conservative approximation to early X results, it will only discard a subset of the fragments early Z does)

### ZMin benefits:

- Reduces depth-testing work (don't need to test individual depth samples)
- Reduces memory bandwidth (don't need to read individual depth samples, but still must write)

### **Costs:**

- **Overhead of hierarchical tests**
- Must maintain per-tile Zmin/Zmax values
- System complexity: must update per-tile values frequently to be effective (early Z system feeds) results back to hierarchical Z system)

### Frame-buffer compression



## **Depth-buffer compression**

- Motivation: reduce bandwidth required for depth-buffer accesses
  - Worst-case (uncompressed) buffer allocated in DRAM
  - Conserving memory <u>footprint</u> is a non-goal (Need for real-time guarantees in graphics applications requires application to plan for worst case anyway)
- **Lossless compression** 
  - Question: why not lossy?
- **Designed for fixed-point numbers (fixed-point math in rasterizer)**

# **Depth-buffer compression is tile based**

### Main idea: exploit similarity of values within a screen tile



**On tile evict:** 

- 1. Compute zmin/zmax (needed for hierarchical culling and/or compression)
- 2. Attempt to compress
- 3. Update tile table
- 4. Store tile to memory

### Figure credit: [Hasselgren et al. 2006]

On tile load:

- **1. Check tile table for compression scheme**
- 2. Load required bits from memory
- 3. Decompress into tile cache

## **Anchor encoding**

- Choose anchor value and compute DX, DY from adjacent pixels (fits a plane to the data)
- Use plane to predict depths at other pixels, store offset d from prediction at each pixel
- Scheme (for 24-bit depth buffer)
  - Anchor: 24 bits (full resolution)
  - DX, DY: 15 bits
  - Per-sample offsets: 5 bits

d	d	d	d
d		$\Delta x$	d
d	$\Delta y$	d	d
d	d	d	d

## **Depth-offset compression**

- Assume depth values have low dynamic range relative to tile's zmin and zmaz (assume two surfaces)
- Store zmin/zmax (need to anyway for hierarchical Z)
- Store low-precision (8-12 bits) offset value for each sample
  - MSB encodes if offset is from zmin or zmax



[Morein and Natali]

# **Explicit plane encoding**

- Do not attempt to infer prediction plane, just get the plane equation directly from the rasterizer
  - Store plane equation in tile (values must be stored with high precision: to match exact math performed by rasterizer)
  - Store bit per sample indicating coverage
  - Simple extension to multiple triangles per tile:
    - Store up to N plane equations in tile
    - Store log<sub>2</sub>(N) bit id per depth sample indicating which triangle it belongs to
- When new triangle contributes coverage to tile:
  - Add new plane equation if storage is available, else decompress
- To decompress:
  - For each sample, evaluate Z(x,y) for appropriate plane



## Summary: reducing the bandwidth requirements of depth testing

- **Caching:** access **DRAM** less often (by caching depth buffer data)
- Hierarchical Z techniques (zmin/zmax culling): "early outs" result in accessing individual sample data less often
- Data compression: reduce number of bits that must be transferred from memory to read/write a depth sample

- The pipeline's output color buffer (output image) is also compressed using similar techniques
  - Depth buffer typically achieves higher compression ratios than color **buffer. Why?**

## **Cross-cutting issues**

### Hierarchical traversal during rasterization

- Leveraged to reduce coverage testing and also occlusion work
- Tile size often coupled to hierarchical Z granularity
- May also be coupled to compression tile granularity
- Hierarchical culling and plane-based buffer compression are most effective when triangles are reasonably large
  - Modern GPU implementations are still optimized for triangles of area ~ tens of pixels